

RECEIPT  
#6



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): James Neal Richter )  
Douglas K. Warner )  
Ganesh Prabu )

Attorney Docket No. RNOT.80303

Serial No. 09/751,934 )

Group Art Unit: 2171

Filed: December 29, 2000 )

Title: AUTOMATED ADAPTIVE )  
CLASSIFICATION SYSTEM )  
FOR BAYESIAN KNOWLEDGE )  
NETWORKS )

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MAY 31 2001

Technology Center 2100

CERTIFICATE OF MAILING  
37 C.F.R. 1.8

I hereby certify that this correspondence is being deposited with the U.S. Postal Service, postage prepaid, as First Class Mail in an envelope addressed to: Preexamination Filing Receipt Section, Commissioner for Patents, Washington, D.C. 20231, on:

2-14-01  
Date

*[Signature]*  
Signature

**REQUEST TO CORRECT OFFICIAL FILING RECEIPT**

PREEXAMINATION FILING RECEIPT SECTION

Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Applicant respectfully advises the U.S. Patent Office that the copy of the attached filing receipt contains one error and a lack of information. This letter is a request to correct the Official Filing Receipt (dated January 24, 2001) for the above-referenced patent application.

Please correct the Attorney Docket Number as follows:

from: RNOT.72011

to: RNOT.80303

Please add the residence addresses for the following applicants:

James Neal Richter, 8605 Ricky Drive, Bozeman, Montana 59718

Douglas K. Warner, 9717 Cougar Drive, Bozeman, Montana 59718

Ganesh Prabu, 77 Discovery Drive, Bozeman, Montana 59718

In view of the foregoing, issuance of a corrected Filing Receipt to reflect the correction of this inaccuracies is respectfully requested. Thank you for your prompt attention.

Although the undersigned believes there is no fee due in connection with this request, **we hereby authorize the Commissioner to charge any additional fee which may be required, or credit any overpayment, to Deposit Account No. 19-2112. A duplicate copy of this response is enclosed.**

Respectfully submitted,



William B. Kircher  
Reg. No. 22,481

WBK:ljm  
Enclosures

SHOOK, HARDY & BACON L.L.P.  
One Kansas City Place  
1200 Main Street  
Kansas City, Missouri 64105-2118  
816/474-6550

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Page 1 of 4

MAY 31 2001

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 UNITED STATES PATENT AND TRADEMARK OFFICE

 COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/751,934	12/29/2000	2171	0.00	RNOT. 72011 80303	10	25	4

CONFIRMATION NO. 8042

## FILING RECEIPT



\*OC000000005702610\*

 William B. Kircher  
 SHOOK, HARDY & BACON L.L.P.  
 1200 Main Street  
 Kansas City, MO 64105-2118


Date Mailed: 01/24/2001

D

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

James Neal Richter, Residence Not Provided; 8605 Ricky Drive, Bozeman MT 59718  
 Douglas K. Warner, Residence Not Provided; 9717 Cougar Drive, Bozeman, MT 59718  
 Ganesh Prabu, Residence Not Provided; 77 Discovery Drive, Bozeman, MT 59718

## Continuing Data as Claimed by Applicant

THIS APPLICATION IS A CIP OF 09/549,568 04/14/2000

## Foreign Applications

If Required, Foreign Filing License Granted 01/24/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

*Declaration +  
Response to Missing  
Parts filed 2-14-01*

## Title

Automated adaptive classification system for bayesian knowledge networks

Section  
#3

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s): James Neal Richter )  
Douglas K. Warner )  
Ganesh Prabu )

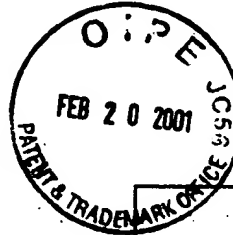
Attorney Docket No. RNOT 80303

Serial No. 09/751,934 )

Group Art Unit: 2171

Filed: December 29, 2000 )

Title: AUTOMATED ADAPTIVE )  
CLASSIFICATION SYSTEM )  
FOR BAYESIAN KNOWLEDGE )  
NETWORKS )



**CERTIFICATE OF MAILING  
37 C.F.R. 1.8**

I hereby certify that this correspondence is being deposited with the U.S. Postal Service, postage prepaid, as First Class Mail in an envelope addressed to: Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231, on:

2-14-01  
Date

[Signature]  
Signature

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

**BOX MISSING PARTS**

Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

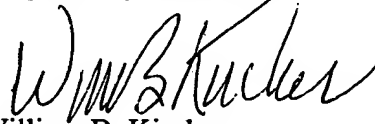
Responsive to the Notice to File Missing Parts dated January 24, 2001, enclosed herewith are the following:

- 1) Executed Joint Declaration for Patent Application;
- 2) Executed Assignment;
- 3) Assignment Recordal Form;
- 4) Copy of Notice to File Missing Parts of Nonprovisional Application;
- 5) Patent application filing fee of \$710 + \$170 for additional claims, totaling \$880 and \$130 surcharge fee, in the form of a check in the amount of \$1,010;

- 6) Assignment recordation fee of \$40, in the form of a check;
- 7) Deposit Account Authorization paragraph; and
- 8) Certificate of Mailing via First Class Mail.

**The Commissioner is hereby authorized to charge any additional fee which may be required, or credit any overpayment, to Deposit Account No. 19-2112. A duplicate copy of this response is enclosed.**

Respectfully submitted,



William B. Kircher  
Reg. No. 22,481

WBK:ljm  
Enclosures

SHOOK, HARDY & BACON L.L.P.  
One Kansas City Place  
1200 Main Street  
Kansas City, Missouri 64105-2118  
816/474-6550



## UNITED STATES PATENT AND TRADEMARK OFFICE

FEB 08 2001

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/751,934	12/29/2000	James Neal Richter	RNOT.72011

William B. Kircher  
SHOOK, HARDY & BACON L.L.P.  
1200 Main Street  
Kansas City, MO 64105-2118



CONFIRMATION NO. 8042  
FORMALITIES LETTER



\*OC00000005702611\*

Date Mailed: 01/24/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

02/22/2001 WKOROMA 00000016 09751934

FILED UNDER 37 CFR 1.53(b)

01 FC:101	710.00 OP
02 FC:105	130.00 OP
03 FC:102	80.00 OP
04 FC:103	90.00 OP

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$170.
  - \$90 for 5 total claims over 20.
  - \$80 for 1 independent claims over 3 .
- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1010.

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at

least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

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*A copy of this notice MUST be returned with the reply.*



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Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE